



## **Governance Scrutiny Group**

**Thursday, 3 February 2022**

## **Risk Management Progress Report**

### **Report of the Director – Finance and Corporate Services**

#### **1. Purpose of report**

- 1.1. This report provides an update on risk activity following the 23 September 2021 update to the Group. It provides a summary of risks in the Council's Risk Registers that have changed over that period including the risks identified as impacted by the Covid-19 pandemic.

#### **2. Recommendation**

It is RECOMMENDED that Governance Scrutiny Group:

- a) notes the contents of this report in relation to existing risks;
- b) considers the progress on the risks identified in response to the global Covid-19 pandemic;
- c) considers and makes recommendations on the information provided for risks that have red alert status.

#### **3. Reasons for Recommendation**

- 3.1. To provide Governance Scrutiny Group the opportunity to discuss risk activity and make recommendations on risk management, mitigation and financial impacts.

#### **4. Supporting Information**

##### **Risk Management Activity**

- 4.1. The Council's Risk Management Group (RMG) met on 11 January 2022 to review risks on the corporate and operational scorecards including Covid-19 risks. Additionally, the RMG also reviewed the internal controls and financial implications of risks at red (alert) level.
- 4.2. There are currently 45 corporate risks and 33 operational risks on the risk register. In addition there are two opportunity risks identified in this report. The number of risks within the registers will fluctuate throughout the year as active risk management is undertaken. Changing pressures facing local government and the proactive work of managers to identify risks as they emerge will continue to influence new risks added to the register and demonstrates the

Council's aim to be proactive to mitigate risk as soon as possible after identification.

- 4.3. **Appendix A** presents the Council's existing Risk Register containing corporate, operational and Covid-19 related risks. There are two new risks and one has been removed as a result recent reviews. Three risks in the operational risk register have increased risk ratings. Two risks have a reduced risk rating, these can be summarised as follows:

#### **Risk Increased**

**OR\_CED04 Threat of Industrial Action:** The likelihood of strike action has increased and as a result the likelihood rating has moved from 2 to 3.

**OR\_DEG07 Failure to determine major planning applications within 13 weeks or agreed period:** Likelihood increased from 1 to 2 due to ongoing workload increases and staff vacancies.

**OR\_DEG08 Loss of income as a result of the refund of planning application fees under the provisions of the Government's Planning Performance and Planning Guarantee:** Likelihood increased from 1 to 2 – due to ongoing workload increases and staff vacancies.

#### **Risk reduced**

**CRR\_DEG01 Inability to demonstrate a five-year supply of deliverable housing sites against the housing target leading to further development on unallocated sites:** Likelihood reduced from 2 to 1 as the current supply of land exceeds the target.

**CRR\_NS13b Inadequate resources to respond to flooding incidents:** Likelihood reduced from 3 to 2 now that a rapid response team is in place.

- 4.4. There are two new risks:

**OR\_NS34 Increased risk of ASB and other related safety concerns arising from the use of an asylum contingency hotel by the Home Office for asylum seekers.**

**OPP\_DEG02 County Deals – failure to secure opportunities for greater collaboration and Government funding.** This is shown in **Appendix B** and replaces the risk in the last report

- 4.5. One risk has been removed:

**CRR\_DEG06 County Deals – opportunity for greater collaboration and Government funding** – replaced by new opportunity risk (OPP\_DEG02 – see above) following comment from Governance Scrutiny Group and re-evaluation from RMG.

## 5. Risks and Uncertainties

- 5.1. If risks within the Risk Register did not have the correct level of mitigation there would be a heightened threat if a risk occurred. Arrangements are in place to reduce risk by implementation of the Risk Management Strategy.

## 6. Implications

### 6.1. Financial Implications

The Risk Management Group ensures that the financial risks of the Council are managed.

### 6.2. Legal Implications

There are no implications in this report, the processes in place provide good risk management.

### 6.3. Equalities Implications

The Risk Management Group ensure that equalities implications are contained within this register.

### 6.4. Section 17 of the Crime and Disorder Act 1998 Implications

The Risk Management Group ensure that the Section 17 implications are contained within this register.

## 7. Link to Corporate Priorities

Quality of Life	Maintaining an accurate and up-to-date Corporate Risk Register assists the Council in delivering its Corporate Priorities.
Efficient Services	
Sustainable Growth	
The Environment	

## 8. Recommendations

It is RECOMMENDED that Governance Scrutiny Group:

- a) notes the contents of this report in relation to existing risks;
- b) considers the progress on the risks identified in response to the global Covid-19 pandemic;
- c) considers and makes recommendations on the information provided for risks that have red alert status.

<b>For more information contact:</b>	Peter Linfield Director - Finance and Corporate Services Tel: 0115 9148439 plinfield@rushcliffe.gov.uk
<b>Background papers available for Inspection:</b>	
<b>List of appendices:</b>	Appendix A – Rushcliffe Borough Council Risk Register Appendix B – Opportunity Risk Register